



# Dissolution kinetics of water-soluble polymers: The guar gum paradigm

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## ABSTRACT

The ability of many water/electrolyte soluble polymers (WSPs), such as guar galactomannan, to form molecular solutions when dispersed in water is important industrially, for example in food applications, and in controlling the release of drugs in the gastrointestinal tract. Certain WSPs, including guar, are also referred to generically as 'soluble fibre' and some of these fibre preparations are currently available on prescription in the UK and elsewhere. The functional and exploitative properties of such polymers are reliant on the solution viscosity, which is, in turn, dependent on the rate and extent of dissolution in the aqueous solvent. This work extends previous experimental work on the effect of particle size on the dissolution (hydration) rate of guar gum powders over a wide range of particle sizes. In this earlier work, the main experimental variable was the solution viscosity, but we extend this by calculating a new effective concentration from the viscosity and exploring the dissolution rates in terms of this variable. The advantages and limitations of this approach are discussed, and a number of dissolution models, some well known and others novel, are explored. These include  $t_{50}$ , diffusion limited and chemical kinetics models. In view of the results, we suggest because of the particulate size, the model based on chemical kinetics appears the most successful. Aqueous guar gum is a model "entanglement solution" system, so the work should have applicability to many other WSP systems.

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## 1. Introduction

The ability of many water-soluble polymers (WSPs) such as guar galactomannan, to form molecular solutions when dispersed in water is of importance in a number of technological processes. In the pharmaceutical sector, for example, the functional properties of these polysaccharides are of importance for controlling the release of drugs in the gastrointestinal tract. (Chourasia & Jain, 2004; Friend, 2005; Montejo, Barcia, Fernandez-Carballido, & Molina-Martinez, 2004) Moreover, nutraceutical preparations that contain one or more water-soluble non-starch polysaccharides (NSPs) as the bioactive ingredient, are promoted commercially for their potential health benefits e.g. bulk-forming laxative and blood glucose-lowering effects in the treatment of diabetes. (Ellis & Morris, 1991; Guo, Skinner, Harcum, & Barnum, 1998; Judd & Ellis, 2006; Patrick, Gohman, Marx, DeLegge, & Greenberg, 1998; Slavin & Greenberg, 2003) Such water-soluble NSPs are often referred to generically as 'soluble fibre' in the medical and nutritional literature, and some fibre preparations are currently available on prescription in the UK. (Joint Formulary Committee, 2006) Water-soluble polymers are also used as excipients and bulking agents in a large number of formulations. "Viscosifying" guar gum solutions also occur in other

applications. For example in the oil industry, guar gum and its derivatives are major ingredients in drilling muds and fingering fluids, (Goel, Shah, & Asadi, 2000; Kesavan & Prudhomme, 1992; Perez, Siquier, Ramirez, Muller, & Saez, 2004; Zhou & Shah, 2004) and in the textile industry they are employed as "sizes" to help to improve printing quality. (Kesavan & Prudhomme, 1992; Schneider & Sostar-Turk, 2003; Turk & Schneider, 2000).

A number of factors are known to influence the hydration or dissolution process of WSPs, including their molecular weight and final solution concentration. In our recent series of papers on the dissolution (hydration) of guar powders, (Wang, Ellis, & Ross-Murphy, 2002, 2003, 2006) we have confirmed that another major determinant of hydration kinetics is particle size, which reflects the changes in surface area exposed to water. Commercial samples tend to have a particle size typically in the range 40–80  $\mu\text{m}$ , but in the last of these three publications (Wang et al., 2006) we used a range extended up to  $\sim 0.5$  mm. Here, the (weight average)  $M_w$  was maintained (effectively) constant, as was the initial nominal, concentration of polymer in the particulate material,  $C_0$ . This is an important step, because it appears that many literature studies, including those involving tableting (see below), have not been able to de-convolute the effects of particle size from those of molecular weight.

Although the present paper concentrates entirely on guar, this system is now accepted as a model water-soluble "viscosifying"

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or “thickening” polymer and the approach should serve as a standard for other WSPs, such as the cellulose ethers. Indeed the guar gum system is, to use an expression sadly somewhat over-employed – but here, we would argue, entirely appropriate – a paradigm. The literature evidence for this is extensive, but essentially goes back to work published by one of the current authors in the 1980s, where guar was demonstrated to be a model “entanglement solution” system. (Richardson & Ross-Murphy, 1987; Robinson, Ross-Murphy, & Morris, 1982) This work now seems to be part of the accepted canon.

Previously our studies have shown that development of (zero shear) viscosity on hydration of guar samples could be generalised on a single master curve by shifting the data appropriately along the time axis, as shown in Fig. 1. Central to the arguments developed in this paper is the consideration of this data in terms of the concentration of polysaccharide. Consequently, we re-examine the experimental data from our last paper, (Wang et al., 2006) but with a quite different emphasis.

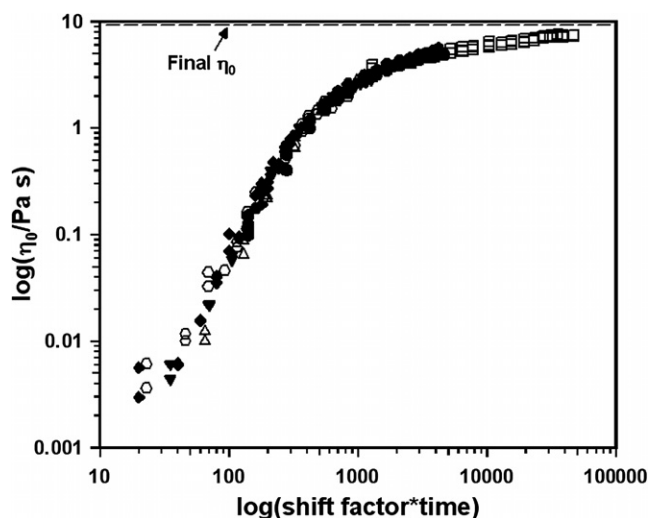
In our earlier papers (Wang et al., 2002, 2003, 2006) we monitored the dissolution process purely in terms of the development of viscosity, and since this is published we give only essential details. To pre-empt the obvious question, why did we not simply follow the concentration with time, as is the convention standard for low molecular weight species particularly in the pharmaceutical area? Here we argued that, for these high molecular weight materials, the concentration, calculated by direct chemical analysis will not relate to fully hydrated material, so the value obtained will differ from that calculated from viscosity measurements, except for the “ultimate viscosity”. This is the value defined here as the viscosity measured for fully hydrated material, after long times.

Indeed in an earlier paper (Wang et al., 2002) we explained why we adopted the procedure of fitting the viscosity directly, rather than the concentration of dissolved material. To quote directly: “this (monitoring the concentration) would be more appropriate from the viewpoint of conventional chemical kinetics, but even though we centrifuged the extracted samples to remove totally undissolved (“filler” phase) material, we still do not have an equilibrium system. In other words, the viscosity even after filtration has a complex contribution from molecularly dissolved polymer, supramolecular but essentially hydrated material and solvent, so

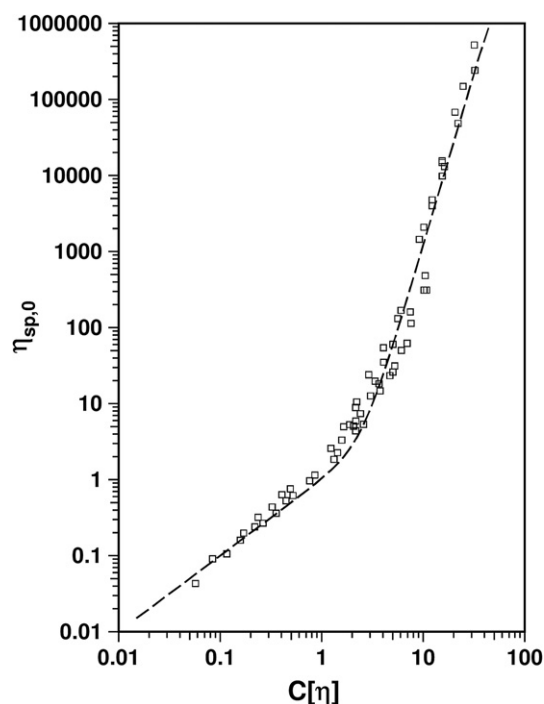
the relationship between the measured viscosity at any time ( $<\infty$ ) is not necessarily a direct one. Consequently the idea that we use the ultimate viscosity versus concentration plots to back calculate the concentration of molecularly dispersed material is one we dismissed fairly early on”. To quote “we were not convinced there was, at any particular shear rate, a one-to-one mapping between concentration and the measured viscosity of the still hydrating system”. (Wang et al., 2002) the evidence for much of the above comment, including the evidence for supramolecular structure and the nature of undissolved material, follows from our work using the so-called pressure cell method to generate molecular solutions. (Patel, Picout, Ross-Murphy, & Harding, 2006; Picout, Ross-Murphy, Errington, & Harding, 2001, 2003; Picout, Ross-Murphy, Jumel, & Harding, 2002).

However, what this does allow us to do now is to calculate values of what we define as  $C_{\text{eff}}$ , the effective concentration of the system contributing to this viscosity. This is evaluated from the (zero shear rate) solution viscosity and, in the present text, we explore its dependence on time,  $t$ . Accepting the hypothesis above, the relationship between  $C_{\text{eff}}$  and the nominal initial concentration  $C_0$  is that generally  $C_{\text{eff}} < C_0$ , but that  $C_{\text{eff}} \rightarrow C_0$  as the hydration time,  $t \rightarrow \infty$ .

Since this is not an experimental paper *per se* we have not given any more than nominal particulars; full details are given in the earlier publications. (Wang et al., 2002, 2003, 2006) We note that other workers have also used the time development of viscosity to follow dissolution (most references in the papers above). For example, a recent publication by Larsen and co-workers (Larsen, Gaserod, & Smidsrod, 2003) applies a similar technique to alginate polymers, but the sample  $M_w$ 's are appreciably lower than those here (typically by  $>10\times$ ) so the viscosities are also markedly lower. One of the figures (their Fig. 2) also shows that log shear stress is proportional to polymer concentration – which suggests that their data are in the un-entangled, or dilute, regime. Under these circumstances it is likely that the above problems do not occur, and



**Fig. 1.** Master curve of log viscosity versus scaled time, produced from viscosity versus time hydration data by applying shift-factor symbols: sample 1: filled diamond; sample 2: open hexagon; sample 3: filled triangle down; sample 4: open triangle up; sample 5: filled circle; sample 6: open square. Curves are cubic polynomial regressions to the means of the replicate measurements. Reproduced from Carbohydrate Polymers 64, 239–246 (2006) with permission from Elsevier Science.



**Fig. 2.** Guar data from Robinson et al. (1982) plotted in the master plot form, and fitted to a modified Kulicke expression. The best fit, as is usual in this presentation, which spans 8 decades, corresponds to minimising the sum of squared of  $\log(\eta_{\text{sp},0})$  differences.

the viscosity at a given time can indeed be related directly to concentration.

Of course, the scenario above is rather different from those commonly in use in the pharmaceutical industry, since here polymeric materials usually occur as excipients in a compacted tablet. Under these circumstances, it appears the effect of particle size is rather different, and still not completely developed. For example one recent paper (Sumathi & Ray, 2003) using tamarind seed polysaccharide, TSP, a polymer which shares some characteristics with guar, (Picout et al., 2003) suggests that there is no particle size effect, at least in the range 43–250  $\mu\text{m}$ , a result supporting earlier work.

However another paper (Agrawal, Neau, & Bonate, 2003) also using TSP, suggests that there is a particle size effect, but in the formed tablet this is the opposite of what we have seen. This work compares an ultra-fine particle size sample (ca. 10  $\mu\text{m}$ ) with a conventional sample (310  $\mu\text{m}$ ). Here the fine particle size sample appeared to slow the release rate (of, in this case, caffeine) compared to that of the larger size sample. This suggested that the smaller particle size sample allowed greater compaction (and binder efficiency) during the tableting stage. Such an effect, which has been confirmed by other workers, has been attributed to a more rapid rate of wetting, leading to more rapid crossing of the glassy – “gel” layer boundary (Mitchell & Hartley, 1996) and subsequent formation of the diffusion-controlling gel layer around the tablet core. Interestingly we do not see the non-monotonic adsorption and dissolution rate behaviour predicted to occur in some solutions, from the effect of polymer disentanglement (Devotta, Ravetkar, Ambekar, & Mashelkar, 1995). This is presumably because the product of  $C$  times  $[\eta]$  is too high.

Overall what this also suggests, in that quite the opposite particle size dependence is seen for un-compacted materials, (Wang et al., 2006) is that there must be a “cross-over” at some intermediate degree of compaction. This may also be why the particle size effect is not seen for the larger particulate samples, and hints at a number of interesting future experiments. Experimentally, at least, the molecular weight effect appears less equivocal, with several papers suggesting, that in tablet dissolution studies, higher  $M_w$  slows release rate. (Durig, Lusvardi, & Harcum, 2004; Emeje, Kunle, & Ofoefule, 2006) This has even encouraged some workers (Korner, 2006; Korner, Larsson, Piculell, & Wittgren, 2005) to produce so-called “smart” blends of long and short chain length to control dissolution and release.

Several empirical dissolution models are discussed in the above papers, but there are also a number of more soundly based molecular models, including those for the dissolution of glassy polymers,

which include dis-entanglement and reptation concepts. (Brochard & de Gennes, 1983; Narasimhan & Peppas, 1996) However, in the circumstance of our earlier work cited above, we found the latter not to be very helpful, and they are not discussed further here.

## 2. Results

### 2.1. Application of time shift method

We do not repeat the experimental details of the particle characterisation, hydration regime, and measurement of the viscosity, but refer the reader to the earlier papers, in particular Wang et al. (2006). The characteristics of the different particles are given here in Table 1; Fig. 1 shows the data re-scaled by multiplying the actual hydration times by an arbitrary factor. The time shift factor for the smallest particle size, for example, was found to be  $\approx 130$ , with appropriate intermediate values, as given in Table 1, for the other samples.

What this meant in practice was that the viscosity developed by a sample hydrated for say 1 h, for the smallest particle size, was, within limitations, the same as that for the largest particle size sample when hydrated for 130 h, i.e.  $\approx 5.4$  days. Of course the assumption implicit in this approach is that the particles hydrate in a uniform manner and, in particular, do not fragment during the dissolution process under our conditions. This is because a mechanism of fragmentation and dispersion would tend to accelerate the overall hydration because dissolution occurs mainly at the particle surface and, given the same total volume, one very large particle would have a lower surface area than a number of smaller particles. Size and shape polydispersity would also have an effect, but this effect is also neglected. Fig. 1 is plotted in double logarithmic form, and the superposition suggests that, despite experimental errors, the reproducibility is generally high – only in certain cases can the repeated measurement values be distinguished.

However with these assumptions implicit, we expected the overall rate of hydration to depend on the surface area, for homogeneously shaped material, and on the volume for more tessellated and complex geometry particles. This suggested that the exponent for the time-scale for hydration should lie somewhere between 2 (since area  $\propto d^2$ ) and 3 (volume  $\propto d^3$ ), where  $d$  is the particle diameter. When we plotted the log of the time shift factor used in creating Fig. 1 against log(particle size), we obtained a linear plot and the exponent, calculated from the linear slope, was  $(-)-2.46$ . (Wang et al., 2006) (The negative sign reflected that, perhaps contrary to intuition, we defined the time shift factor for the largest sample

**Table 1**

Moisture and galactomannan (GM) contents, intrinsic viscosity  $[\eta]$ , weight average molecular mass,  $M_w$ , mean particle size ( $d_{50}$ ), zero shear viscosity after 360 min hydration,  $\eta_{360}$ , final viscosity,  $\eta_{\infty}$  and “ultimate”  $C_{\text{eff}}$ , calculated (see text) from  $\eta_{\infty}$ , for guar gum samples (numbered 1–6) of different particle size

Sample:	1	2	3	4	5	6
Moisture (%)	11.4	11.5	11.8	11.8	10.3	10.5
$[\eta]$ (dL/g)	16.1	16.7	16.9	16.4	17.3	16.0
$M_w^c$	$2.67 \times 10^6$	$2.81 \times 10^6$	$2.86 \times 10^6$	$2.74 \times 10^6$	$2.95 \times 10^6$	$2.65 \times 10^6$
Galactomannan (%)	86.2	87.5	86.2	87.9	85.3	89.4
dsv ( $\mu\text{m}$ )	477	300	223	194	113	74
$\eta_{360}/\text{Pa s}^a$	1.0 <sub>2</sub>	2.0 <sub>3</sub>	2.8 <sub>8</sub>	4.0 <sub>3</sub>	4.8 <sub>6</sub> <sup>b</sup>	7.3 <sub>8</sub>
$\eta_{\infty}/\text{Pa s}^a$	9.5 <sub>9</sub>	9.1 <sub>8</sub>	8.7 <sub>7</sub>	9.5 <sub>3</sub>	9.1 <sub>1</sub>	9.0 <sub>1</sub>
Time shift factor (Fig. 4b)	1	2.3	3.5	6.5	14	130
$C_{\text{eff},\infty}^d/\text{g dL}^{-1}$	0.98	0.94	0.92	0.96	0.90	0.97

Experimental values are means of 2–3 replicates.

<sup>a</sup> A subscripted figure indicates that the measured datum is not significant to better than 95% CI, i.e. 1.0<sub>2</sub> represents a value considered better than  $1.0 \pm 0.1$  but not as precise as  $1.02 \pm 0.01$ .

<sup>b</sup> Value measured after 330 min.

<sup>c</sup> Calculated using Mark-Houwink parameters from Robinson et al. (1982) viz.  $\alpha = 0.72$ ,  $K' = 3.8 \times 10^{-4} \text{ g/dL}$ .

<sup>d</sup> From ultimate viscosity, as dry weight.

to be unity. We did this in order to reflect an increase of the effective time-scale for the smallest sample.)

The advantage of the master curve approach appeared to us to be quite clear, in that we could interpolate the maximum (and intermediate) hydration time(s) for any particle size within this size range,  $\sim 70$  to  $500\ \mu\text{m}$ , quite readily, and even use it to extrapolate to more extreme ranges.

## 2.2. Methods using the effective concentration, $C_{\text{eff}}$ of hydrated material

If we wish to go further, and explore other possible “universalities” or master curves, then it would have to be, for example, via more traditional “chemical” kinetic routes, albeit as before that  $C_{\text{eff}} \leq C_0$ , where the equality condition applies only as time,  $t \rightarrow \infty$ .

### 2.2.1. Calculation of $C_{\text{eff}}$

Clearly in order to calculate  $C_{\text{eff}}$  from the zero shear viscosity  $\eta$  at any given time, we need some relationship between the two. Here we used the now essentially “standard” data of Robinson et al. (1982) in which the “space filling” parameter  $C[\eta]$ , with  $[\eta]$  the intrinsic viscosity of the sample, was plotted against the specific viscosity  $\eta_{\text{sp}}$  for a whole range of different  $M_w$  guar samples at a range of concentrations (Fig. 2). (It is important to appreciate that although these data were for guar, as discussed elsewhere, (Morris, Cutler, Ross-Murphy, Rees, & Price, 1981) essentially the same “master curve” applies for a range of other water-soluble polymers, and even for the polymer solution “standard” polystyrene in toluene).

The initial difficulty is that, on passing through the entanglement concentration, the slope of these data shows a discontinuity, and no simple analytic form can be found that fits well, although attempts have been made; see e.g. Lapasin & Prici (1995) for a summary of some of these. We have noted, however, (Ren, Ellis, Ross-Murphy, Wang, & Wood, 2003; Ren, Ellis, Sutherland, & Ross-Murphy, 2003) that a bridging function, simplified from that of Kulicke and Keniewske, (Kulicke & Keniewske, 1984) by defining the coefficient of the first term in the Kulicke expression, to be one, serves well. This equation then has the form:

$$\eta_{\text{sp},0} = C[\eta] + a(C[\eta])^n \quad (1)$$

where

$$\eta_{\text{sp},0} = \frac{\eta_0}{\eta_{\text{solvent}}} - 1 \quad (2)$$

Here the subscript zero indicates that values are measured at zero shear rate, and  $\eta_{\text{solvent}}$  is the viscosity of, in this case, water.

There is no simple analytic solution for  $C$  in terms of Eqs. (1) and (2), because the best values of the parameters  $a$  and  $n$  for the Robinson guar data are  $\approx 0.038$  and  $4.51$ , respectively. However, by equating the two right hand side terms, using  $[\eta]$  values given in Table 1,  $\eta_{\text{solvent}} = 1\ \text{mPa s}$ , and these values of  $a$  and  $n$ , the resultant concentrations, now equal to our  $C_{\text{eff}}$ s, can be found for any given  $\eta$  using an iterative method. We used a simple 1-D bisection algorithm (Press, Flannery, Teukolsky, & Vetterling, 1986) with this, and the function in implicit form, both written as Visual Basic macros for Excel.

Encouragingly, even though we used a “look up table”, relating concentration and viscosity that is now almost 25 years old, the estimates of the  $C_{\text{eff}}$ s for the different particle size samples from the respective (“infinite time”) ultimate viscosities  $\eta_{\infty}$ , are close to their nominal value,  $C_0$ , of  $1.0\ \text{g dL}^{-1}$ , as shown in Table 1. Indeed, particularly in view of the approximations involved, these estimates, with a mean value of  $0.94\ \text{g dL}^{-1}$  and a standard deviation of  $0.03$ , are very gratifying results.

## 2.3. Hydration rates using $C_{\text{eff}}$ values

Applying the same method to previously published data generates a new figure, Fig. 3a, which obviously shows the same trends in behaviour as seen before, albeit that the data are less stretched for the ordinate,  $C_{\text{eff}}$  in Fig. 3a. This largely reflects the strong  $C^{4.5}$  dependence of viscosity seen (cf. Fig. 2) for the majority of the data in this range. As in Fig. 1 we can replot this data in logarithmic form giving Fig. 3b. Unsurprisingly this looks quite similar to the un-superposed version of Fig. 1, and again can be re-superposed by multiplying time by an arbitrary factor, but since we have already assumed the two are functionally related in calculating the  $C_{\text{eff}}$ s, we decided not to pursue this approach.

There are, of course, a considerable number of dissolution models in the pharmaceutical literature, but almost all are concerned with the release of low  $M_w$  (i.e.  $< 5 \times 10^4$ ) species, as is most relevant in this area. For higher molecular weight species, entanglement concepts will become relevant, as introduced above, and this would tend to limit their applicability. For this reason, we discuss only a few such models below.

## 2.4. Application of $t_{50}$ models

A common way to quantify the release rate from a tablet is, of course, by the  $t_{50}$  value, that is, the time when 50% of the tablet material has been released into the surrounding dissolution medium. (Korner et al., 2005) Although we are interested in powders,

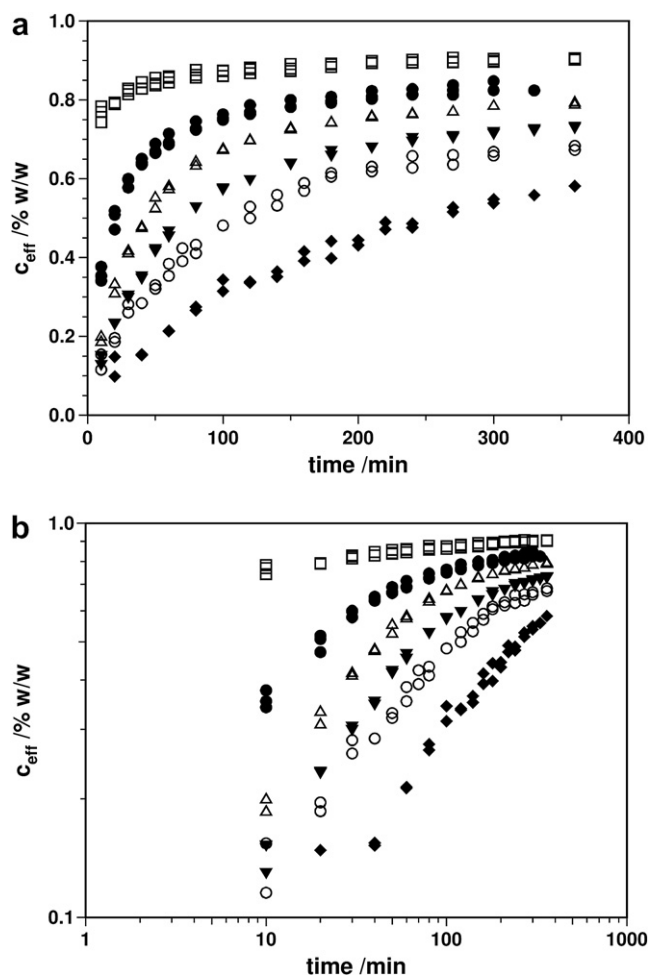


Fig. 3. (a)  $C_{\text{eff}}$  for all six samples plotted versus time  $t$ ; symbols as Fig. 1. (b) As (a) but plotted with log time-scale.



rather than intact tablets, we decided to adopt this approach, but here  $t_{50}$  is defined as the time when  $C_{\text{eff}}$  is 50% of  $C_{\text{eff},\infty}$ , given in Table 1. For the largest particle size samples,  $t_{50}$  can be estimated reasonably well from the raw data leading to Fig. 1. For the smallest, even the first datum corresponds to times well beyond this, so the value needs to be estimated, either by eye, or by using the polynomial fit.

However, many of the data in Fig. 4a are too close to the  $C_{\text{eff}}$  axis to help us examine the precise behaviour, so this is re-plotted as Fig. 4b with a  $\log(t/t_{0.5})$  axis. In these plots we have not assumed, *a priori*, a value for the final concentration equivalent to  $\eta_{\infty}$  but, as discussed above, the values for all six samples superimpose reasonably well, and all are marginally below the  $C_0$  of 1 g dL. What is even more interesting is if we now plot the  $t_{50}$  estimates against particle size. The slope (exponent) of this, Fig. 5, is 2.38 with a standard error of 0.03, very close to the value we found previously, (Wang et al., 2006) in the viscosity scaling mentioned above. However, it has to be said the slope is strongly influenced by the lowest point, where obtaining experimental data for  $t_{50}$  is difficult. If this point is excluded, regression statistics give a slope of 1.81 and a standard error of 0.08, closer to our original hypothesis that the behaviour would scale with surface area, i.e. that scaling multipliers such as  $t_{50}$  should be  $\propto (\text{particle size})^2$ .

In work on dissolution of discrete tablets, it is very common, in the usual linear time plot to see an initial concave region followed by one that is essentially linear, before the approach to the asymptote (Korner et al., 2005). Except for the possible exception of the

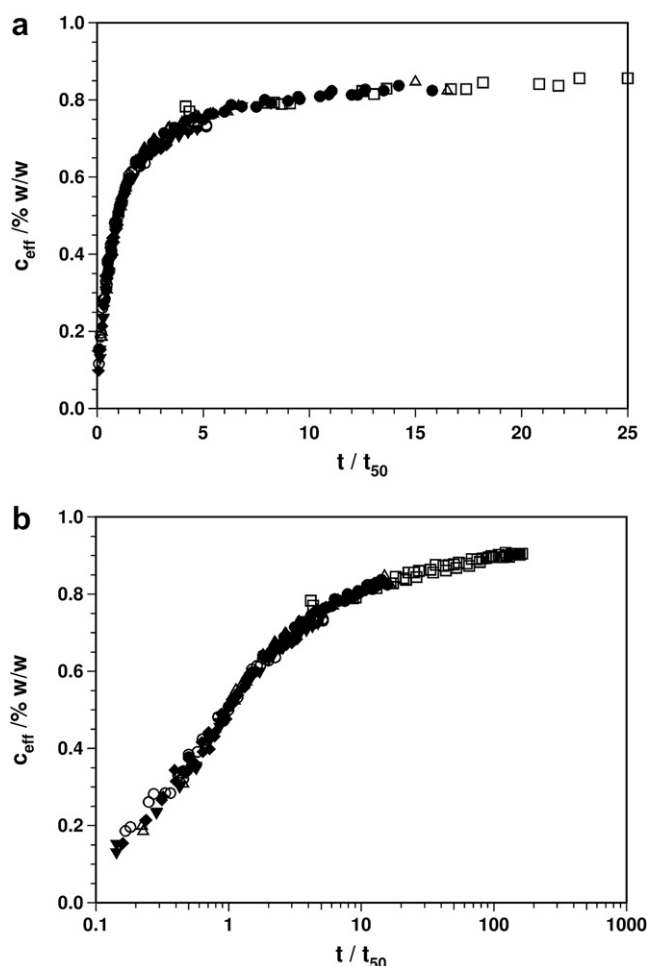


Fig. 4. (a)  $C_{\text{eff}}$  for all six samples plotted versus reduced time  $t/t_{50}$ ; symbols as Fig. 1. (b) As (a) but plotted with log scale for  $t/t_{50}$ .

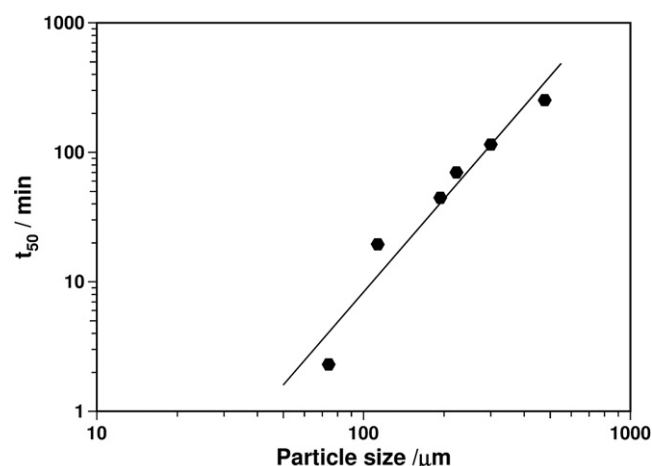


Fig. 5.  $t_{50}$ , 50% dissolution time plotted versus particle size. Slope is 2.38 with a standard error of 0.03.

two lowest points, where the experimental data are more unreliable, we saw no such effect, and this is presumably because of the lack of an initial, macroscopic tablet disintegration step. There will also be a faster equilibration in the mixing stage. In addition, this suggests that the breadth of the so-called swollen “gel” region surrounding the essentially un-hydrated particulate material is not so large (here the inverted commas reflect that, at least formally, this is a misuse of the term “gel”, albeit that it is in common parlance in this area. For a more detailed discussion of this point, see, for example, Kavanagh & Ross-Murphy, 1998).

As mentioned above, the guar samples still include intact cellular material, with the galactomannan mostly making up the cell wall material. (Ellis, Wang, Rayment, Ren, & Ross-Murphy, 2001) The smallest size sample (6 in Tables 1 and 2) is approximately the size of a single endosperm cell, whereas the other samples must be made up of clusters of cells. Hydration and dissolution of the polysaccharide may be assisted by this cellular structure, compared with the behaviour of a homogeneous powder.

## 2.5. Diffusion controlled (Peppas type) models

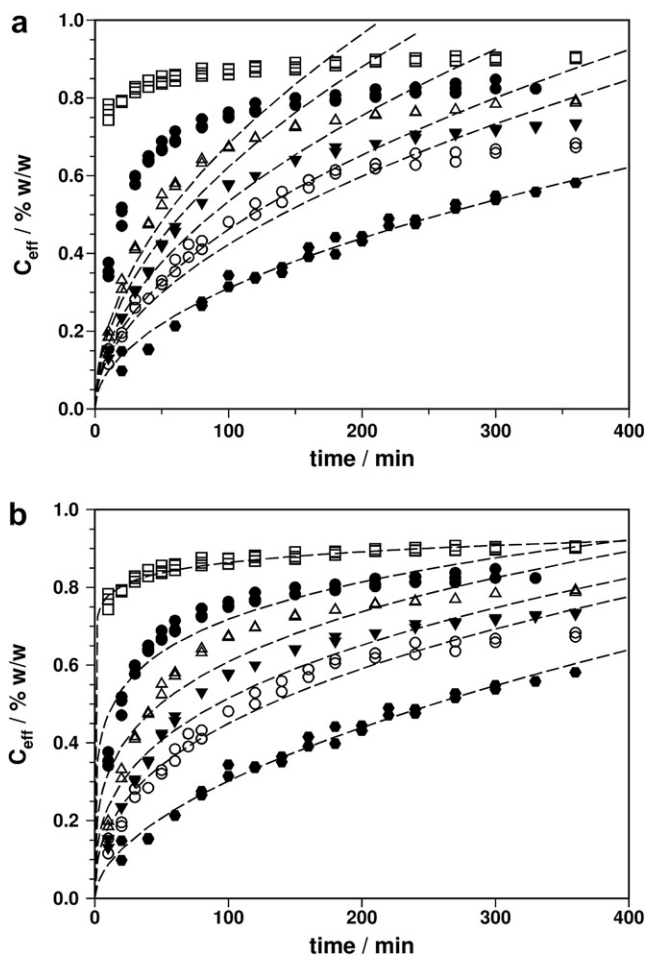
We might therefore predict that the dissolution (“release”) would be approximately Fickian, and so we would expect that the change in  $C_{\text{eff}}$  would be as shown by Eq. (3) with  $n^* = 0.5$ . (Higuchi, 1961; Peppas, 1985).

$$C_{\text{eff}}/C_0 = k't^{n^*} \quad (3)$$

In fact this is certainly not the case, as can be seen by the “best” fits to this expression with a single rate constant shown in Fig. 6a. Even at a simple level this is a very poor model, because, as the figure shows, the predicted amount released  $C_{\text{eff}}$  soon becomes greater than the actual concentration of polymer,  $C_0$ . It also does not reproduce the rectilinear nature of the data, and for the small particle

Table 2  
Best fit (least squares norm) parameters from Eqs. (3) and (4)

Sample:	1	2	3	4	5	6
$d_{\text{sv}} (\mu\text{m})$	477	300	223	194	113	74
Peppas model, Eq. (3)						
$n^*$	0.54	0.39	0.34	0.28	0.18	0.05
$k'$	3.00E-02	8.00E-02	0.12	0.18	0.35	0.72
Kinetics model, Eq. (4)						
$n'$	2.55	2.62	2.39	2.54	2.32	4.15
$k_n$	5.3E-03	1.5E-02	2.2E-02	3.3E-02	8.6E-02	3.98

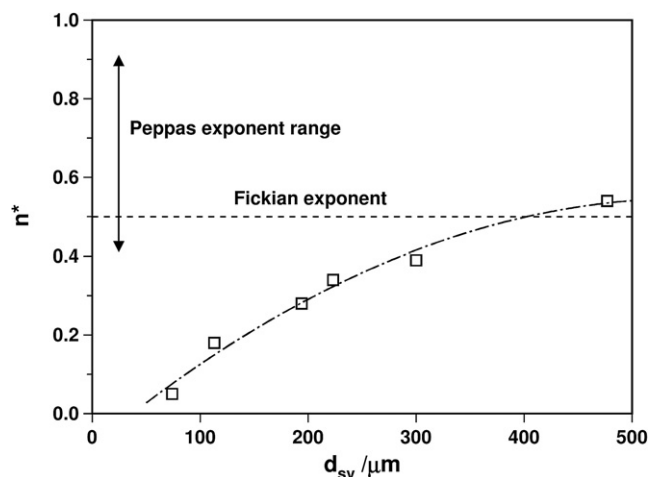


**Fig. 6.** (a)  $C_{\text{eff}}$  for all six samples plotted versus time; symbols as Fig. 1. Curves are best fits to Fickian  $t^{1/2}$  dependence; (b) as (a) but plotted versus  $t^{n'}$ . Exponent and rate constant as per Table 2.

size samples, it is obviously unsatisfactory. Indeed only for the largest size sample (filled hexagons) does it provide anything like an acceptable fit. Clearly the process for the smaller particles is not simply, if at all, diffusion controlled.

For such systems the Peppas type equation form, in which  $n^*$  is allowed to vary (typically in the range 0.4–0.9 depending upon the mechanism of dissolution) has been widely applied. (Peppas, 1985; Peppas, Wu, & von Meerwall, 1994) Of course the fit from this (Fig. 6b) is much better than for the Fickian model since this introduces a second parameter, but the improvement is less clear than initial inspection of Fig. 6b would suggest. Firstly, there is again an unphysical indication that values of  $C_{\text{eff}} > C_0$  can be obtained, and secondly the values of  $n^*$  are generally outside those usually expected, lying in the range 0.54 for the largest particle size sample, but decreasing monotonically to a value of 0.05 for the smallest (Table 2). Clearly, as the size of the particle decreases, the rate constant  $k'$  increases, but in such a way that the product of  $k'$  and  $n^*$  is approximately constant, an interesting, but probably un-interpretable observation.

Values of  $n^*$  significantly less than 0.5 are not normally reported, and such a result almost certainly reflects the initial particle size, which is just too small for a (continuum) diffusion type expression to apply. As mentioned above, examination of Table 2 shows that an approximately Fickian exponent (0.54) is found for the particles of mean diameter  $\sim 0.5$  mm. In fact plotting values of the  $n^*$  exponent against particle size (as shown in Fig. 7) shows



**Fig. 7.** Calculated values of the Peppas exponent  $n^*$  plotted against particle size (as  $d_{\text{sv}}$ ). The dot dash line is a guide to the eye.

a smooth evolution in behaviour. This we interpret by saying that a transition from microscopic to macroscopic behaviour occurs for particles around this size – an observation that seems intuitively attractive. This novel conclusion may also be of more particular significance, in view of the current interest in developing nano-sized high molecular weight particulate materials.

## 2.6. Models based on the $n$ th order chemical kinetic equation

Since none of the above models was very successful for these microscopic particles, we decided to follow another approach. The form of the raw  $C_{\text{eff}}$  versus time data clearly suggests an appeal to a simple “chemical” kinetics model in which:

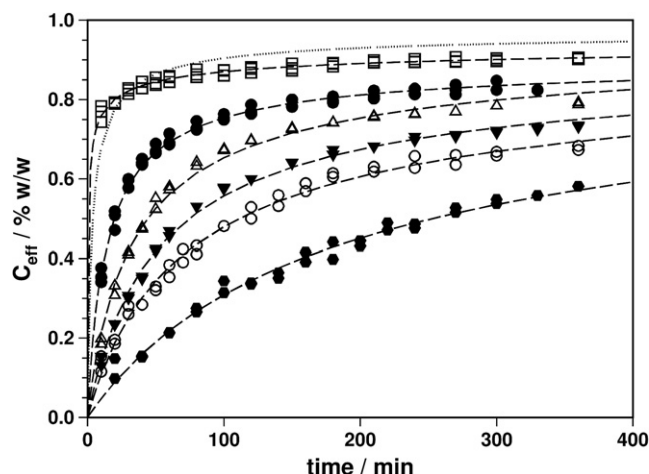
$$\frac{dC_{\text{eff}}}{dt} = -k_n C_{\text{eff}}^{n'} \quad (4)$$

This will clearly have the desired asymptotic behaviour that  $C_{\text{eff}} \rightarrow C_0$  as  $t \rightarrow \infty$ , so the main concern is in the quality of fit, the reaction orders and the rate constants (we note here that it is the asymptotic shaped mathematical form of this equation we use, rather than suggesting any underlying physico-chemical model).

As Fig. 8 shows, the quality of fit is really very acceptable, and clearly much better than the Peppas  $n^*$  form, (Fig. 6b) with the same number of adjustable parameters. Examination of the  $n'$  “reaction order” parameters for the different particle size sets is also instructive, see Table 2. Here the value of  $n'$  appears essentially independent of particle size, (mean value 2.48, sd 0.12) except for the very smallest size sample (see comments below), and only the “rate constant” parameter,  $k_n$  changes – a much more acceptable behaviour. Indeed the values of the rate constants themselves demonstrate a quite reasonable and smoothly monotonic dependence on particle size (figure not shown). Fig. 8 also shows a line fitted to the smallest particle size in which  $n'$  has been constrained to have the mean value of 2.48 introduced above.

The latter fit is not particularly good, but the apparent rate constant is, at least, consistent with the values for the other sets. In chemical kinetics it is usual to relate the reaction order to the reaction mechanism. It is tempting to suggest that the apparent reaction order revealed here, at  $\sim 2.5$  again suggests a mechanism in which dissolution lies between being particle surface area and volume controlled.

Interestingly the paper by Larsen and co-workers, on which we commented earlier, has obtained good results from a model that is essentially first order chemical kinetics. (Larsen et al., 2003) As we discussed, before their alginate systems generates much lower fi-



**Fig. 8.**  $C_{\text{eff}}$  for all six samples plotted versus time; symbols as Fig. 1 curves are best fits to the “chemical kinetics” model of Eq. (4); exponent and rate constant as Table 2. The dot dash line is the best fit for the smallest particle size sample, but with  $n'$  parameter constrained to be equal to the mean value,  $2.48 \pm 0.12$  for the other five sets.

nal viscosities than ours, and the dissolution stage is far faster (a few minutes as opposed to several hours).

### 3. Discussion

Currently it appears there are very few models that can provide a relationship between particle size and dissolution rate in this particle size range, and most of these, as we discussed in our earlier papers, are quite limited. (Wang et al., 2002, 2003, 2006) The comparative simplicity of the approaches introduced above makes it potentially very attractive, and may prove of value for other high  $M_w$  particulate systems, in the pharmaceutical industry (such models also have applications in other areas e.g. oil extraction.) At this stage the work is clearly still at the hypothesis development stage. What now needs to be done is to repeat the measurement series and, most specifically, compare the actual concentration of material in “solution” albeit that it may not be “molecularly” dissolved (Picout et al., 2001, 2002) with that calculated from the solution of Eqs. (1) and (2). It may also be that this is the opportunity to re-check the now “classic” Robinson correlation, illustrated in Fig. 5. (Robinson et al., 1982) As far as applicability is concerned, it is very important that we exploit the generality implicit in such superposition approaches by examining other samples. An obvious candidate would be cellulose derivatives, since excellent model samples are now available, (Desbrieres, Hirrien, & Ross-Murphy, 2000) and such materials, albeit less well defined than the latter, are widely employed in the pharmaceutical sector.

A final, though very pertinent point is, of course, whether or not the results obtained previously, and treated here, depend upon the dissolution regime in the hydration “box”, in particular the deformation/rate regime – which will be a mixture of shear and extension. That is to say, whether or not the particle size dependence on the shift factors is independent of agitation conditions during hydration even within the range where particle fragmentation does not occur. The short answer is that only further experiments could answer this question, as we noted in our earlier publications (Wang et al., 2002, 2003, 2006).

On the other hand, even if this is a factor, it should not alter our main conclusions. That is because the present paper is intended to be much more about developing a series of approaches – many of them novel – in part, where not totally – for treating such a set of results, and obtaining insight without performing other experi-

ments. That is of course, the strength of such superposition methods, and one we continue to espouse.

### 4. Conclusions

The models employed, and the several novel approaches developed here, have much broader relevance to the dissolution/hydration of other high molecular weight materials, which is why we regard these studies on the galactomannan guar as a model for other systems. Dissolution is a very important mechanism for any soluble material. The present work may have limited applicability to the vast literature of dissolution of conventional tablets. We suggest this is because at least one interpretation of the essential simplicity of the results obtained here, and their apparent “universality” suggests that dissolution of powders, even including those with a particle size approaching 0.5 mm involves fewer processes than the shell/gel type model commonly suggested. To investigate this in detail remains a task for future work.

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